

Form PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P21462
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/926,154	
INTERNATIONAL APPLICATION NO. PCT/JP00/01563	INTERNATIONAL FILING DATE 15 March 2000	PRIORITY DATE CLAIMED 17 March 1999	
TITLE OF INVENTION LIGAND-BONDED COMPLEX			
APPLICANT(S) FOR DO/EO/US Toshiaki TAGAWA, Tsutomu SUZUKI, Nobuhisa YADA, Kazuhiro NAGAIKE, Youko HIRAKAWA, Saiko HOSOKAWA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.			
<ol style="list-style-type: none"> <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Executed" <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)). 			
Items 11 to 16 below concern other document(s) or information included:			
<ol style="list-style-type: none"> 11. Assignee: <u>MITSUBISHI CHEMICAL CORPORATION of Tokyo, JAPAN</u> 12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> Figure of Drawing to be published _____ 18. <input checked="" type="checkbox"/> Other items or information: Cover Letter. Copy of form Notification of Missing Requirements. 			
12/31/2001 UEDUVIJE 00000050 09926154			
01 FC:154		130.00 DP	

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/926,154	INTERNATIONAL APPLICATION NO. PCT/JP00/01563	ATTORNEY'S DOCKET NUMBER P21462		
19. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS		
Basic National Fee (37 CFR 1.492(a)(1)-(5)):		PTO USE ONLY		
Search report has been prepared by the EPO or JPO. \$ 860.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 690.00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$ 710.00				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1,000.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00		
Claims	Number Filed	Number Extra	RATE	
Total Claims	18	- 20 =	0	X \$18.00 \$ 0.00
Independent Claims	1	- 3 =	0	X \$80.00 \$ 0.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =			\$130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$.			\$ 0.00	
SUBTOTAL =			\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$ 0.00		
Extension of Time fee in the amount of \$			\$ 0.00	
TOTAL NATIONAL FEE =			\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 0.00		
TOTAL FEES ENCLOSED =			\$130.00	
		Amount to be refunded	\$	
		Charged	\$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$130.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u> </u> in the amount of \$ <u> </u> to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u>.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF:				
Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191				
 SIGNATURE Bruce H. Bernstein NAME 33,329				
29,027 REGISTRATION NUMBER				

P21462.P06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Toshiaki TAGAWA et al.

Serial No : 09/926,154
(National Stage of PCT/ JP00/01563)

I.A. Filed : March 15, 2000

For : LIGAND-BONDED COMPLEX

COVER LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration of the International Application set forth in the Notification mailed October 29, 2001 which sets a two month period of response until January 2, 2002 (being that December 29, 2001 falls on a Saturday, and Monday and Tuesday which are the holiday), Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing
Under 35 U.S.C. 371;

- an executed Declaration and Power of Attorney;
- a check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the
below listed number.

Respectfully submitted,
Toshiaki TAGAWA et al.

Leslie J. Bernstein Reg. No.
Bruce H. Bernstein
Reg. No. 29,027

December 21, 2001
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke
Reston, VA 20191
(703) 716-1191

X		
Information for Patent, Del PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov		

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,154	Toshiaki Takawa	P21462
INTERNATIONAL APPLICATION NO.		
PCT/JP00/01563		
07055 GREENBLUM & BERNSTEIN, P.L.C. 1941 ROLAND CLARKE PLACE RESTON, VA 20191	LA FILING DATE	PRIORITY DATE
	03/15/2000	
CONFIRMATION NO. 2932		
371 FORMALITIES LETTER		
 *OC000000006983900*		

Date Mailed: 10/29/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED

NOV 1 2001

GREENBLUM & BERNSTEIN, P.L.C.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,154	PCT/JP00/01563	P21462